

# Bond analysis of cobalt and iron based skutterudites: elongated lanthanum bonds in $\text{LaFe}_4\text{P}_{12}$

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**Abstract.** Motivated by the possibility of further improving the thermoelectric properties of skutterudites we investigate charge transfer and bonding in this class of materials using density functional calculations. Results for the  $\text{CoP}_3$ ,  $\text{CoSb}_3$ ,  $\text{LaFe}_4\text{P}_{12}$  and the hypothetical  $\text{FeP}_3$  compounds are presented using the procrystal as the non-binding reference. Spherical integration and Bader analysis are performed to illustrate charge transfer differences between these compounds. The results are in good qualitative agreement with simple electronegativity considerations. The calculations confirm that the transition metal-pnictogen and the pnictogen-pnictogen bonds are covalent, while the filler atom-pnictogen bond is of a more polar and complex nature. The success of the “rattling” cage as phonon inhibitor is explained by a unique semi-correlated bonding scheme between lanthanum and phosphorus. Elongated bonds along the crystal axes surrounds the lanthanum ion and generate a dodecahedra grid. Vibrations along the crystal axes are then closely connected to and scatter from the phosphorus rings. In the other directions, a more uncorrelated vibration is possible. This duality widens the phonon dampening possibilities.

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## 1. Introduction

In recent years, skutterudite compounds have attracted much attention as potential thermoelectric materials[1]. This is mainly attributed to their high figure-of-merit[1] and the possibility to substitute and modify the composition while still retaining the basic structure[2]. The figure-of-merit is defined as  $ZT = \alpha^2 \sigma T / \kappa$ , where  $\alpha$ ,  $\sigma$ ,  $\kappa$  and  $T$  are the Seebeck coefficient, the electrical conductivity, the thermal conductivity, and the temperature, respectively. The high  $ZT$  value comes as a result of a strong asymmetric density of states around the Fermi energy and the ability to drastically decrease the thermal conductivity by adding interstitial atoms. These structures are also interesting from a physical point of view due to superconducting[3, 4], heavy-fermion[5, 6] and ferromagnetic[7] behaviour.

Empty skutterudite compounds in space group  $Im\bar{3}$  have the chemical formula  $MX_3$ , where  $M$  and  $X$  are transition metals and pnictogens, respectively.

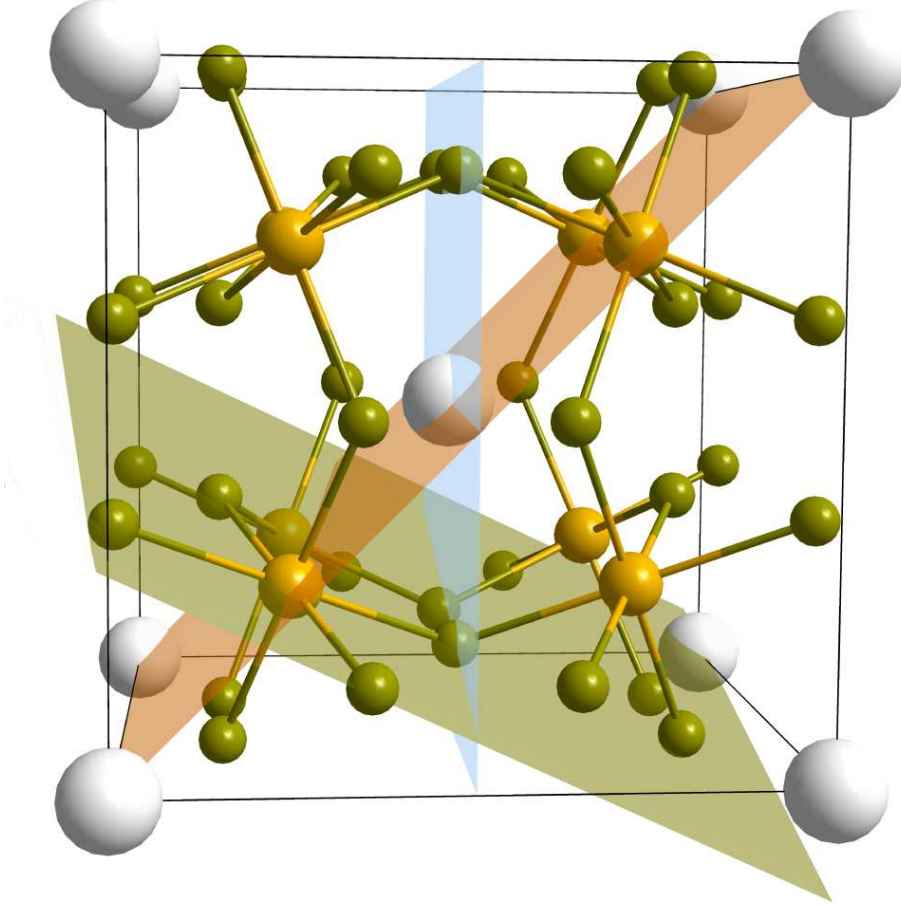
It was early suggested[8] that the inclusion of heavy atoms in the open voids, the positions of the filler ions in figure 1, could reduce the thermal conductivity. This idea has since been confirmed by numerous studies[9]. The decrease of the thermal conductivity is attributed to a “rattling” behaviour of the filler atoms, confirmed by inelastic neutron diffraction studies[10].

The true nature of the rattling behaviour has not yet been determined, but it has been suggested that the filler ions can rattle independently[11] in the dodecahedra of  $X$  ions. Recent studies[12, 13] contradict this picture and suggest a more correlated motion of the filler atoms.

Changes of the thermal conductivity have also been shown for substitutions in the basic skutterudite framework[14, 15]. Such substitutions can also be tuned to modify the electrical conductivity[16] by influencing the electronic part of the thermal conductivity. Hence both the electrical and thermal conductivity can be tailored by altering the composition. The wealth of different compositions possible in the skutterudite structure has resulted in a number of studies looking for optimal compositions to reach ultimate thermoelectric properties[17, 9]. To assist in the search, an in-depth understanding of the electron structure and lattice thermal conductivity may be helpful.

In this work we focus on the valence electron density and bonding properties. Previous work has indicated the importance of the hybridization between the p- and d-orbitals of the antimonides and transition metals to stabilize the  $\text{CoSb}_6$  octahedra[18]. The lowest conduction band responsible for electron transport was furthermore shown to include mainly Sb p-states located in the  $\text{Sb}_4$  ring[18]. These rings were also shown to be connected through the  $\text{CoSb}_6$  octahedra by the highest valence band. In addition, evidence of delocalized non-bonding Sb s-states was observed.

There has been some discussions[19] as to whether it is the  $MX_6$  octahedra, the  $X_4$  ring or a combination of both[18] that determine the main bonding features of the skutterudite compounds. Numerous studies[20, 21] have calculated and measured the density of states around the Fermi level. From these studies it is quite clear that the



**Figure 1.** (Color online) The skutterudite structure. Small, medium and large spheres are the pnictogens, transition metals and filler ions, respectively. The octahedral arrangement of pnictogens around the transition metal is shown in addition to the octahedral cutout (green/darkest), the 020 (blue/brightest) and the 110 (red/bright) planes.

3d-states of  $M$  lie right under the Fermi level followed by the 3p-states of  $X$ , while the  $X$  3s-states lie deep below the Fermi level and are not contributing to any significant bonds. The lower part of the conduction band has primarily 3d- and 3p-state character from  $M$  and  $X$  respectively.

However, to our knowledge the bonding character of the filler ions has not been specifically addressed in detail beyond what has been suggested in literature reviews[9, 22], in spite of the filler atoms' special role as enhancing lattice thermal resistivity. Recent studies[12, 13] suggest a more correlated movement of the filler atoms. This motivates us to specifically address the filler ion charge transfers.

In this study we investigate the electronic structure and charge transfer in the  $\text{CoP}_3$ ,  $\text{CoSb}_3$ ,  $\text{LaFe}_4\text{P}_{12}$  and the hypothetical  $\text{FeP}_3$  skutterudites. We give an introduction to the DFT and charge transfer calculations in section 2.1 and section 2.2, respectively. Results and discussions follow in section 3 where we briefly list the relaxed structure in

section 3.1 followed by a charge transfer reference discussion in section 3.2. Results of a qualitative charge transfer analysis using the procrystal as a reference are presented in section 3.3 followed by a more quantitative analysis in section 3.4, which cover both estimates of charge depletion numbers and Bader analyses. Brief discussions finally ends section 3. Concluding remarks are given in section 4

## 2. Computational details

### 2.1. Density functional theory

The electronic structure and bonding information are ultimately manifested in the charge density, the fundamental quantity in density functional theory (DFT)[23, 24]. This makes DFT an obvious choice for charge density analysis. We have performed DFT calculations in the generalized gradient approximation (GGA)[25, 26, 27, 28] using Perdew-Burke-Ernzerhof (PBE)[29] exchange-correlation functional to obtain the charge density. The highly efficient projector-augmented-wave (PAW)[30, 31] method was also used. All calculation were done using the Vienna Ab initio Simulation Package (VASP)[31, 32, 33, 34, 35, 36].

Crystal structures were relaxed with regards to cell size, shape and atomic positions using the residual minimization scheme, direct inversion in the iterative subspace (RMM-DIIS)[37] algorithm. After the relaxation another self-consistent calculation has been done to ensure correct representation of the system. An energy cutoff of 800 eV for  $\text{LaFe}_4\text{P}_{12}$  and 550 eV for  $\text{CoP}_3$  and  $\text{CoSb}_3$  were necessary to obtain convergence of the total energy to within 2 meV. A k-point grid of  $8 \times 8 \times 8$  for the conventional (cubic) unit cell was sufficient to achieve similar convergence.

Comparable smearing conditions are very important during charge analysis, since different smearing parameters will affect the charge density well above errors introduced in the post-processing. A Gaussian smearing[38] of 0.3 eV was sufficient to converge all structures and atoms to 1  $\mu\text{eV}$  within fifty electron steps. The difference between Gaussian and higher order Methfessel and Paxton smearing[39] was found to be very small for  $\text{LaFe}_4\text{P}_{12}$ , thus Gaussian smearing was used in all cases. Procrystal electron densities for charge transfer analysis were generated from free atom densities obtained from large single atom unit cell calculations using VASP. The lattice constants were scaled two times that of the crystal to avoid any bonding with mirror images. To make sure that the resolution was comparable for the augmentation charges, the grid size was adjusted accordingly.

### 2.2. Charge transfer analysis

The charge transfer  $\rho_b$  in a material can be defined by

$$\rho_b = \rho_0 - \rho_r, \quad (1)$$

where  $\rho_r$  is a reference density and  $\rho_0$  is the crystal charge density of the material. Bonding properties can then be quantified and visualized from  $\rho_b$ . The choice of  $\rho_r$  is important and is discussed in section 3.2

The charge density in three dimensions is difficult to present without the use of proper visualization tools. In this work the charge density is represented by interionic line extractions of the charge density using modified Shepard interpolation[40] or by a scalar contour plot in the planes defined in figure 1. The interpolation routine is used to force correct extraction exactly along the line.

The problem of assigning quantitative charge to an ion and thus determine electron transfer is well known, and a number of different approaches are being used[41]. In this work we will use a Bader analysis[42] scheme to determine the quantitative ionic electron occupancy numbers. The underlying principle of the Bader analysis is based on a division of charge surfaces where the gradient of the charge density does not have a component normal to its surface. Recently Bader analysis has been implemented efficiently[43, 44, 45] in a freely available code[46]. The Bader analysis can sometimes be difficult to interpret and a correct representation of the core charges is necessary.

As an alternative, to determine the charge depletion it is possible to simply integrate the charge density around a single ion inside a sphere. This raises two fundamental objections. In general the bonds are not spherically symmetric. Also, the unit cell volume can not be covered by spheres alone, which means that parts of the electron density must escape the analysis. Nevertheless a spherical body shape is simple to define and intuitively easy to analyse. In this work we have therefore used the spherical integration technique as an alternative to calculate the depletion around each ion, well aware of its limitations. For spherical calculations the radial cutoffs are crucial to obtain representative depletion numbers. A common approach is to use the covalent radius as a the cutoff radius. This is obviously a simplification and may be unreasonable for bonds not obeying covalency.

In this work we suggest an alternative way to determine the radial-cutoff  $r_d$ . We start by integrating the charge density difference  $\rho_b$  from which information about the charge transfer is directly available. We define the integral  $I_b$  as

$$I_b(r_{max}) = \int_{\Omega} \int_0^{r_{max}} \rho_b dr d\Omega, \quad (2)$$

where the volume of integration is limited by the outer radius cutoff  $r_{max}$ . The angle dependence is handled by  $d\Omega$ . The electron charge depletion number  $\Delta n_d$  is now defined as follows

$$\Delta n_d = I_b(r_{max} = r_d). \quad (3)$$

In this work  $r_d$  is defined as the radius where the integral  $I_b$  peaks. More specifically as

$$\left. \frac{\partial I_b}{\partial r_{max}} \right|_{r_{max}=r_d} = 0. \quad (4)$$

We require that  $r_d > r_t$ , a lower threshold limit. Choosing this threshold limit can be difficult in general. We have found that a value of  $r_t = 0.3 \text{ \AA}$  avoids possible

local oscillations close to the core giving rise to local extremal values. Controllable accuracy during the integration has been obtained by the use of a numerical trapezoidal integration scheme. This relies on the modified Shepard interpolation routine which is able to return any given function value after the initialization of the basis functions, thus allowing a correct representation close to the integration limits without severe performance penalties. Furthermore, we have calculated the mean value of the depletion  $\langle \Delta n_d \rangle$  by integrating  $I_b$  such that

$$\langle \Delta n_d \rangle = \frac{1}{r_d} \int_0^{r_d} I_b(r_{max}) dr_{max}, \quad (5)$$

Typically a small difference between  $\langle \Delta n_b \rangle$  and  $\Delta n_d$  indicates an abrupt and short-ranged depletion zone around the ion (the opposite is true for a larger difference). This and the value of  $r_d$  give information about the extension of the depletion. An obvious limitation of this method is that occupancy numbers can not be obtained. To compare charge transfer maxima locations and their covalent character we have defined the center of Pauling electronegativity as  $\chi^* = \chi_1/(\chi_1 + \chi_2)$ , where  $\chi_1$  and  $\chi_2$  are the electronegativities of the respective ions.

The need for a correct representation of the all-electron charge density must be emphasized. Due to the compensator charge density[31] the usual charge density obtained from VASP is not the true all-electron charge density. In this work the all-electron charge density has been explicitly regenerated after a pre-converged run, thus removing the problems associated with the compensator charge density. For the Bader analysis the total charge density (valence+core) have been included as a reference to ensure proper determination of the maximum and minimum valence electron density. For all other calculations in this work the separated all-electron valence charge density has been used exclusively.

It should be mentioned that other geometries are easily adopted in this method and work is in the progress to extend the analysis to ionic basins[47] and other body shapes, still using a selected reference charge density.

### 3. Results and discussion

#### 3.1. Structural relaxation

Experimental lattice parameters and atom positions were used as a start for the structural relaxation. Results were in good agreement with previous experimental measurements[48, 49, 50] and calculations[21]; lattice constants and Wyckoff positions of all relaxed structures were within one percent of the experimental value. In agreement with earlier reports[48, 49, 50], the  $P_4$  ring becomes more quadratic going from  $CoP_3$  to  $LaFe_4P_{12}$ . For these materials the width to length ratio (ring ratio) is 0.79 and 0.97, respectively. The  $Sb_4$  ring ratio is 0.96 for  $CoSb_3$ , in agreement with previous experimental work[51].

Increased deviation from quadratic rings between,  $CoP_3$  and  $CoSb_3$  are expected

due to the larger atoms dominating influence on the short bond. This increases the two shortest bond lengths, yielding a more quadratic shaped ring.

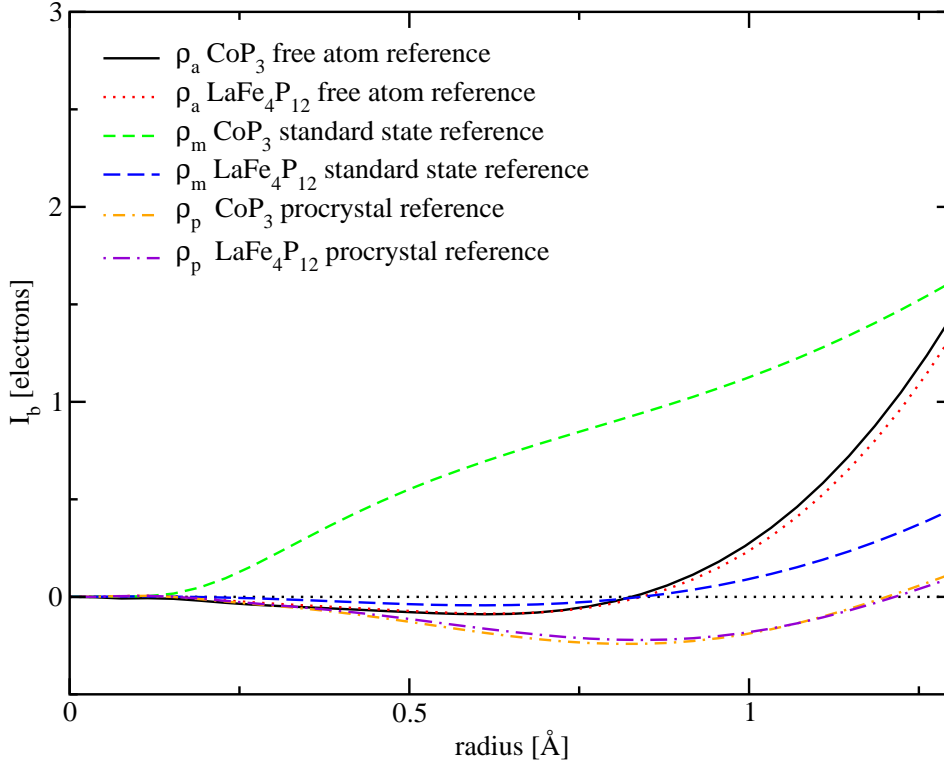
### 3.2. Choice of charge transfer reference

The determination of charge transfer is a difficult process due to the choice of charge reference. From a theoretical point of view we can use a procrystal[52] charge density  $\rho_p$ . This is generated from a superposition of free atomic charge densities embedded in the crystal unit cell, similar to the independent atom model[53]. These charge densities will overlap, but bonding features are absent. This is intuitive and generality is preserved. The bonding properties can be determined from equation 1 using  $\rho_p$  as the reference charge density  $\rho_r$ .

Different experimental methods exist to determine the charge density and/or charge transfer. One approach is to compare spectroscopic data with standard state references  $\rho_m$ [20, 54, 55, 56]. The relative intensity difference may then be converted to occupancy numbers[57]. A more direct approach is to perform diffraction experiments and refine the structure factors by starting from overlapping atomic orbitals[52, 58, 59]. This reference is in principle equivalent to the procrystal used in this work. The structure-factor refinement is stopped when sufficient agreement with experimental diffraction intensities is reached. A standard state charge density  $\rho_m$  reference will already contain bonding features on the reference level.

Comparisons between different compounds are then complicated by the lack of a common bond-free reference. To illustrate the complications we have calculated the spherically integrated charge density difference  $\rho_b$  around a  $M$  ion for  $\text{LaFe}_4\text{P}_{12}$  and  $\text{CoP}_3$  using the free atom  $\rho_a$ , the standard state  $\rho_m$  and the procrystal  $\rho_p$  charge density references. Moving from the  $\rho_p$  reference to  $\rho_m$  a decrease depletion around the  $M$  ion is expected due to the underlying bonding features in  $\rho_m$ . The charge around an atom in the procrystal can be anticipated to be larger than around the free atom due to the overlapping free atom charge densities. Both are clearly confirmed in figure 2. In the case of  $\text{LaFe}_4\text{P}_{12}$  a charge depletion around Fe is observed using both the standard state Fe and the procrystal as references. However, the magnitude and gradient are different between the two references. More serious problems emerge when standard state Co for  $\text{CoP}_3$  is used. A charge buildup close to Co ion is then found, opposite to what is seen from the procrystal results. The two references thus represent two different pictures; a buildup and a depletion of charge respectively.

Hence, if we intend to compare charge transfer magnitudes between  $\text{CoP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  we should preferably use  $\rho_p$  or  $\rho_a$  as a reference. The procrystal represents a consistent reference in charge transfer analysis, but may be difficult to use directly as a reference experimentally. All further charge transfer analysis in this work use the procrystal  $\rho_p$  as the reference charge density  $\rho_r$ .



**Figure 2.** (Color online) The effect of different charge references  $\rho_r$  on the integrated charge density difference  $I_b$  around the transition metal. References employed are the standard state  $\rho_m$  (long-dashed line for  $\text{LaFe}_4\text{P}_{12}$ , short-dashed line for  $\text{CoP}_3$ ), the atomic  $\rho_a$  (dotted line for  $\text{LaFe}_4\text{P}_{12}$ , solid line for  $\text{CoP}_3$ ) and the procrystal  $\rho_p$  (long-dash-dotted line for  $\text{LaFe}_4\text{P}_{12}$ , short-dash-dotted line for  $\text{CoP}_3$ ).

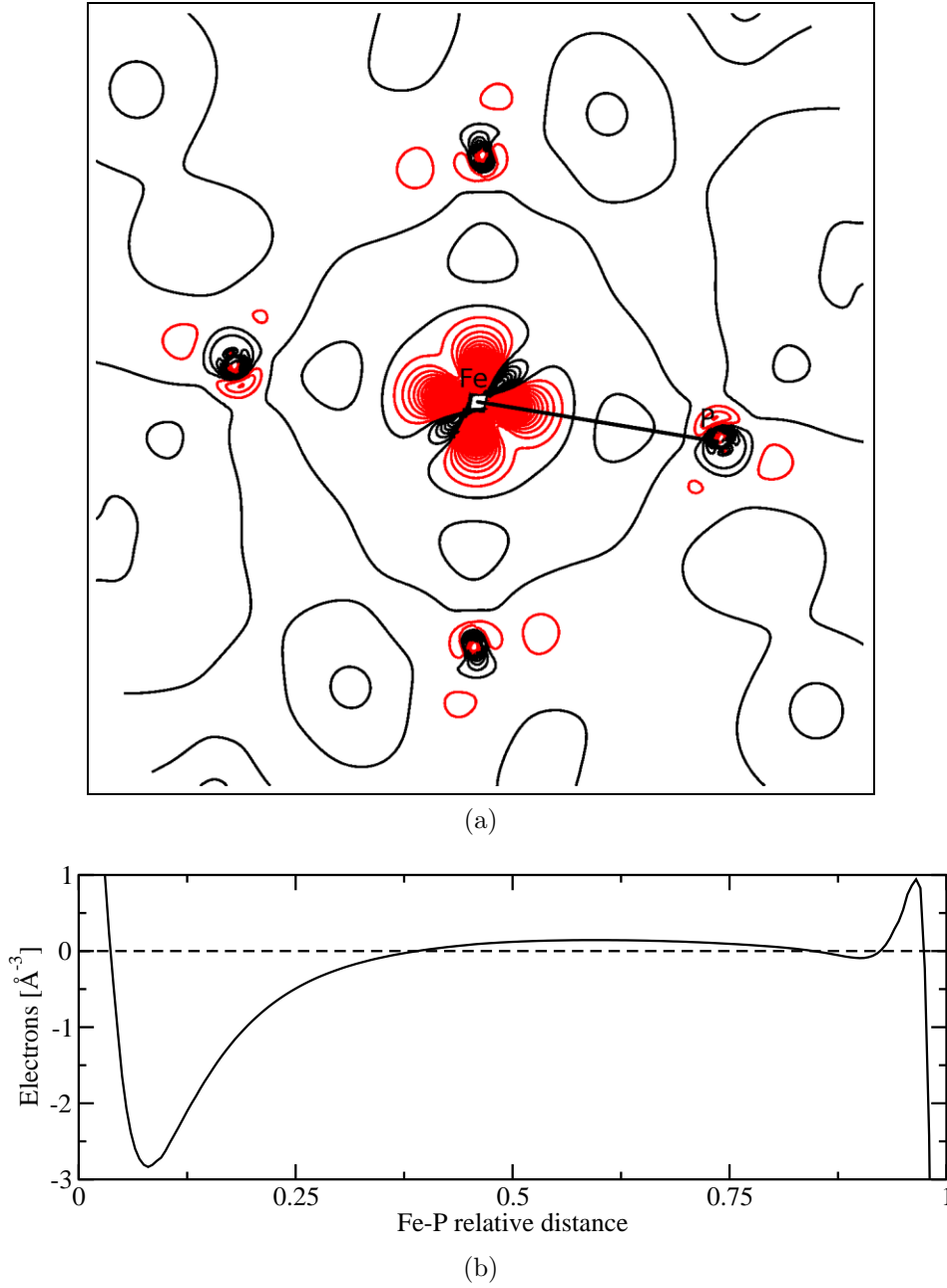
### 3.3. Qualitative charge transfer analysis

Charge density differences  $\rho_b$  have been calculated for  $\text{LaFe}_4\text{P}_{12}$  using the procrystal as a reference,  $\rho_r = \rho_p$ . Contour plots of  $\rho_b$  in the octahedral plane, the plane containing the  $\text{P}_4$  ring (020 in-plane shifted) and the plane containing one La ion and four P ions (020) are shown in figure 3, figure 4 and figure 5 respectively. They correspond to the planes illustrated in figure 1. A line is indicated in each contour plane which defines a line extraction of the charge transfer in order to complement the contour plot. The maximum along these lines, their relative distance and the center of electronegativity are listed in table 1.

Due to the lack of core electrons, there is a clear divergent behaviour close to each ion core, but this should not be of importance to the charge transfer analysis presented. The distances have been normalized to a relative distance  $x$  in order to facilitate direct comparison between the different compounds.

First of all, a significant charge depletion locally around the Fe ion is clearly observed in figure 3. The charge depletion is directional and facing the P ions, while depletion around the P ions is moderate. Also, a charge buildup between the Fe and P ion is observed in figure 3 with a maximum value and a relative distance listed in table

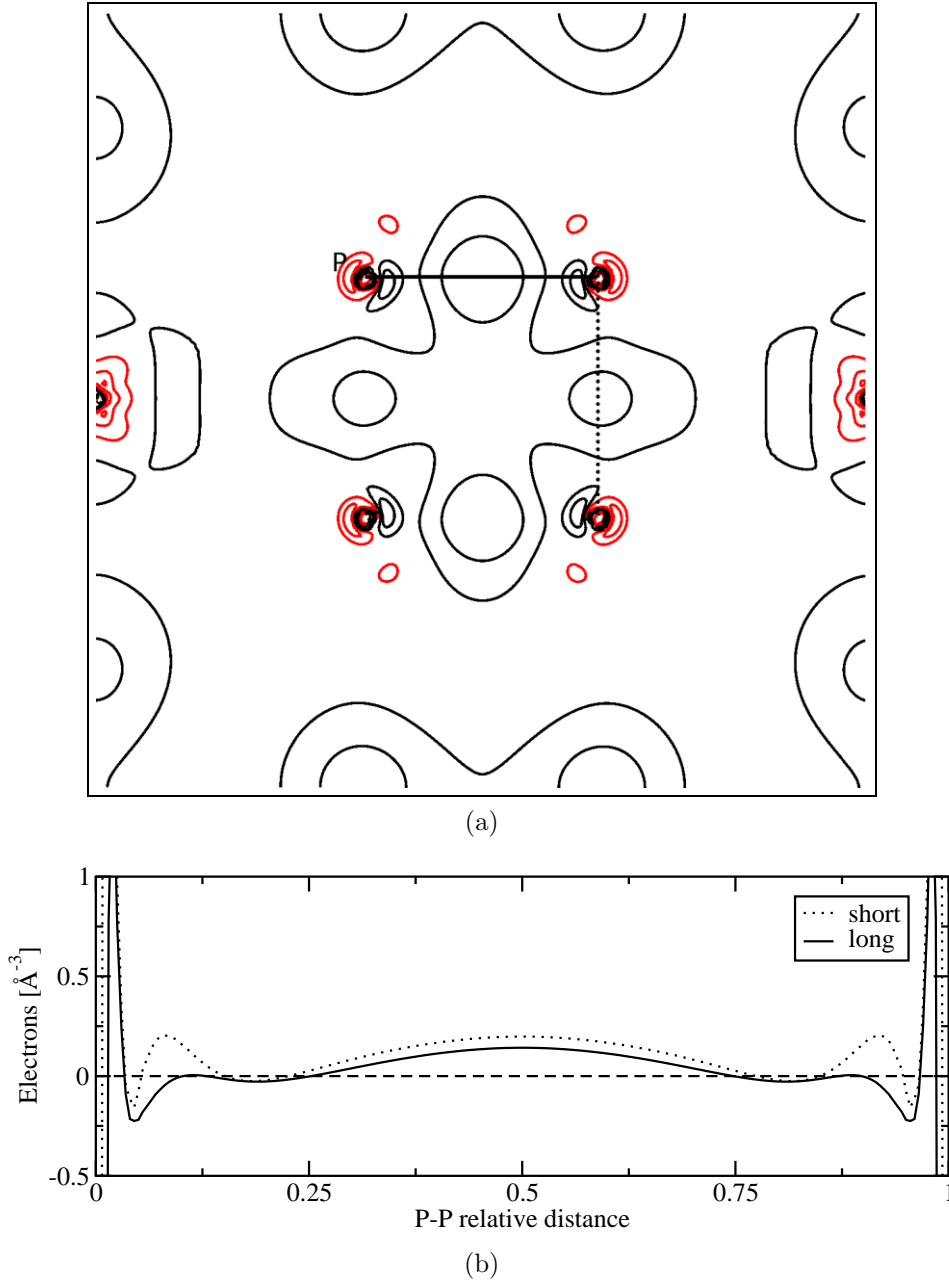




**Figure 3.** (Color online) (a) Contour plot of the charge density difference  $\rho_b$  for  $\text{LaFe}_4\text{P}_{12}$  in the octahedra cutout (green/darkest plane in figure 1). Positive charge transfer (black/dark) are drawn from 0 to 1.0 electrons/ $\text{\AA}^3$ , while the negative (red/light) are drawn from -3 to 0 electrons/ $\text{\AA}^3$ . The contour spacing is 0.1 electrons/ $\text{\AA}^3$ . (b) Inter-ionic extraction of  $\rho_b$  between Fe and P (indicated by the black line in (a)). The distance is normalized.

1.

Going to the  $\text{P}_4$  ring in figure 4, parts of the charge transfer to the Fe-P binding can be seen as four negative lobes around the P ions pointing out of the  $\text{P}_4$  ring. These also contribute to the positive P-P charge buildup. It is interesting to note that the charge

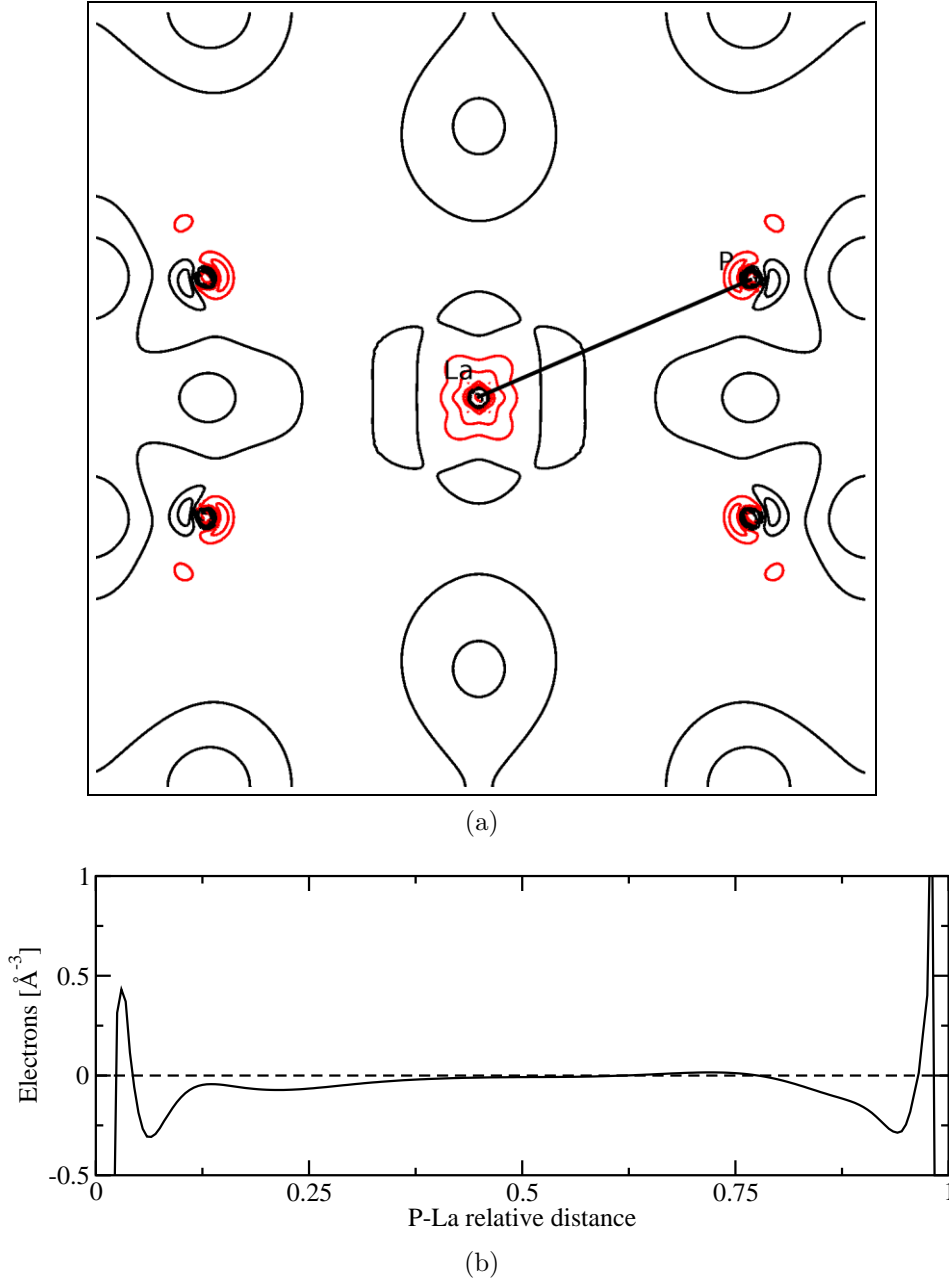


**Figure 4.** (Color online) (a) Contour plot of the charge density difference  $\rho_b$  for  $\text{LaFe}_4\text{P}_{12}$  in the plane through the  $P_4$  ring (blue/brightest in figure 1 with a shifted unit cell). Positive charge transfer (black/dark) are drawn from 0 to 2.0 electrons/ $\text{\AA}^3$ , while the negative (red/light) are drawn from -0.5 to 0 electrons/ $\text{\AA}^3$ , both with a contour spacing of 0.1 electrons/ $\text{\AA}^3$ . (b) Inter-ionic extraction of  $\rho_b$  between two sets of P ions (indicated by the black lines in (a)). The straight and dotted lines represent the short and long inter-ionic charge transfer extractions P-P in the  $P_4$  ring. These lines are indicated in (a). The distances are normalized.

transfer of the short P-P bond is significantly different from its longer counterpart, demonstrating that the shortest bond is the strongest. As expected the maximum

charge buildup is located in the middle of both the short and long P-P bonds.

The P-La charge transfer is given in figure 5. Compared to the Fe-P bond, a maximum value is observed closer to the La ion. In addition significant charge movement close to the P and La ion is confirmed. The depletion close to the P ion is similar to



**Figure 5.** (Color online) (a) Contour plot of the charge density difference  $\rho_b$  for  $\text{LaFe}_4\text{P}_{12}$  in the plane containing one La surrounded by four P (blue/brightest plane in figure 1). Positive charge transfer (black/dark) are drawn from 0 to 1.0 electrons/ $\text{\AA}^3$ , while the negative (red/light) are drawn from -0.5 to 0 electrons/ $\text{\AA}^3$ , both with a contour spacing of 0.1 electrons/ $\text{\AA}^3$ . (b) Inter-ionic extraction of  $\rho_b$  between P and La (indicated by the black line in (a)). The distance is normalized.

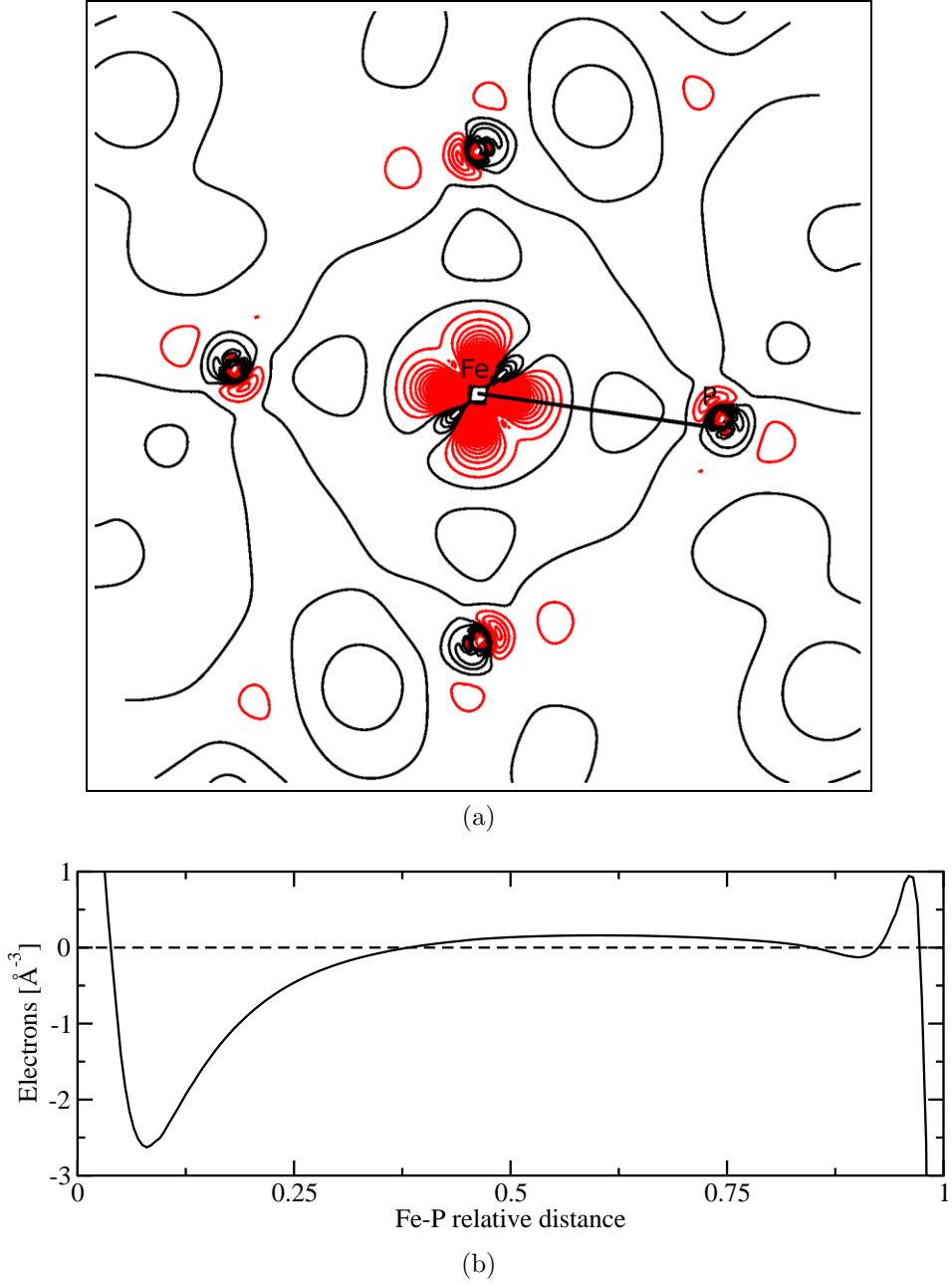
the depletion around P for the long P-P bond, which is facing La.

An important question to raise is how much the La ion contributes to the Fe-P charge transfer in  $\text{LaFe}_4\text{P}_{12}$  compared to the pure Co to Fe substitution going from  $\text{CoP}_3$  to  $\text{FeP}_3$ . To illustrate this we have calculated the hypothetical  $\text{FeP}_3$  structure based on the atomic positions and unit cell parameters of  $\text{CoP}_3$ . The  $\text{FeP}_3$  structure was not relaxed. In figure 6 we give the contour plot of the octahedral plane of  $\text{FeP}_3$  and the inter-ionic Fe-P line extraction. Comparing figure 3(a) and 6(a) shows that the overall differences between  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  in the octahedral plane are small close to the Fe ion, while clear differences are observed in the vicinity of the P ion.

The complete set of relevant charge transfers  $\rho_b$  are compared for  $\text{CoP}_3$ ,  $\text{CoSb}_3$ ,  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  in figure 7 and 8. Maximum values and their relative distances are listed in table 1. In the upper part of figure 7, a charge buildup maxima for  $\text{CoP}_3$  and  $\text{FeP}_3$  is observed, slightly shifted towards the P ions. Differences between  $\text{CoP}_3$  and  $\text{FeP}_3$  close to the  $M$  ion are also observed, while the differences closer to the P ions are marginal. Close to the  $M$  ions the largest charge depletion is found in  $\text{CoP}_3$  followed by  $\text{CoSb}_3$ ,  $\text{LaFe}_4\text{P}_{12}$  and  $\text{FeP}_3$ . The location of the smallest charge buildup maximum for Co-Sb is in the middle of the  $M$ - $X$  bond. In addition a second larger maximum closer to the P ion is observed. This extra maximum is completely lacking for the other compounds.

In the lower part of figure 7, both P-La and  $M$ -La inter-ionic charge transfers are illustrated for  $\text{CoP}_3$ ,  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$ . For  $\text{CoP}_3$  and  $\text{FeP}_3$  the virtual position of a filler atom (Wyckoff position a) is used for direct comparison of the electron density around this position. The Fe-La charge transfer in  $\text{LaFe}_4\text{P}_{12}$  has three well defined charge difference maxima. The two smallest are about an order of magnitude smaller than the values for the  $M$ - $X$  and  $X$ - $X$  bonds. The inter-ionic charge transfer extraction between Fe and the virtual La position for  $\text{FeP}_3$  follows that of  $\text{LaFe}_4\text{P}_{12}$  close to the Fe ion; the largest difference between the two compounds (except the obvious difference close to the La position) is observed in the first peak close to the Fe ion. When La is added, a change in charge depletion close to the P ion in the P-La extraction is observed. However, this depletion is larger for  $\text{LaFe}_4\text{P}_{12}$  than  $\text{FeP}_3$ , thus signifying a redistribution of charge close the P ion when La is added. A noteworthy observation is the difference between Fe and Co for the  $M$ -La bond direction. Close to the Co ion there is a significant charge buildup, which is not so evident close to the Fe ion.

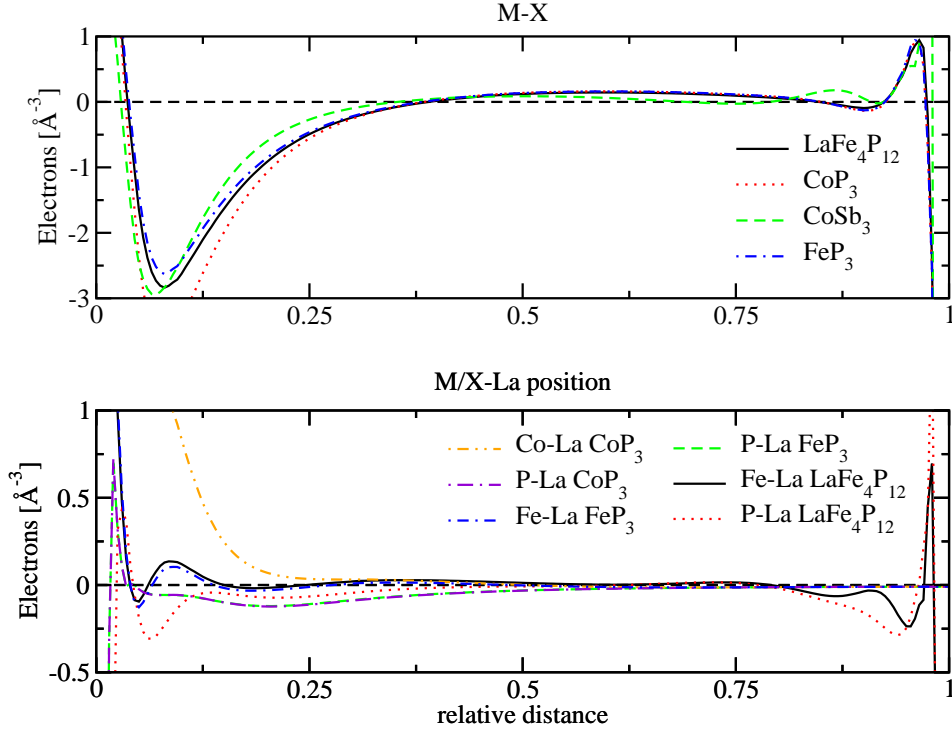
From figure 8 it is clear that the P-P charge transfer changes upon addition of La. A reconfiguration of both the long and short bonds is observed. The charge buildup at the midpoint and close to P decreases when La is added. For the P-P bond in  $\text{CoP}_3$  the short to long charge buildup maximum ratio is 0.83. For  $\text{FeP}_3$  the ratio is 0.79, while it changes to 0.72 for  $\text{LaFe}_4\text{P}_{12}$ . Also, when La is added there is a reconfiguration; the short and long P-P distances are exchanged compared to  $\text{FeP}_3$ . When turning to the antimonide, the Sb-Sb bond exhibit smaller charge buildup relative to the P-P bond with a short to long charge buildup maximum ratio of 0.91.



**Figure 6.** (Color online) (a) Contour plot of the charge density difference  $\rho_b$  for  $\text{FeP}_3$  in the octahedra (green/darkest plane in figure 1). Positive charge transfer (black/dark) are drawn from 0 to 1.0 electrons/ $\text{\AA}^3$ , while the negative (red/light) are drawn from -3 to 0 electrons/ $\text{\AA}^3$ , both with a contour spacing of 0.1 electrons/ $\text{\AA}^3$ . (b) Inter-ionic charge extraction of  $\rho_b$  between Fe and P (indicated by the black line in (a)). The distance is normalized.

### 3.4. Quantitative charge analysis

**3.4.1. Charge depletion** In table 2 the spherical charge depletion number  $\Delta n_d$  as defined in equation 3 and its mean value  $\langle \Delta n_d \rangle$  defined in equation 5 are given. From this table we observe that the charge depletion number around the  $M$  ion is largest in

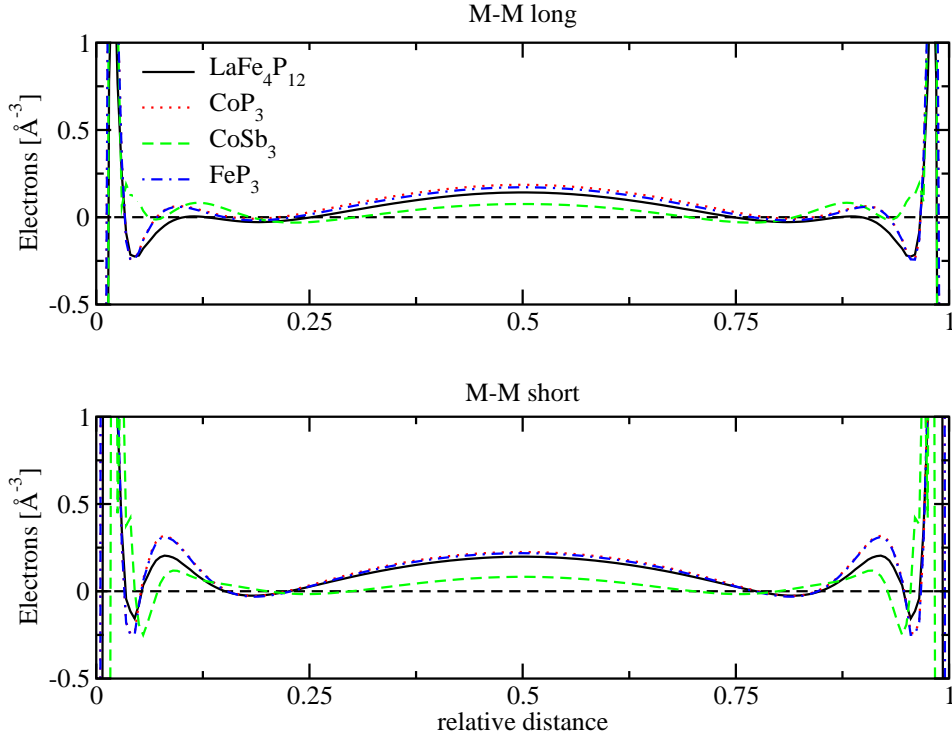


**Figure 7.** (Color online) Inter-ionic extraction of the charge density difference  $\rho_b$  between  $M$ - $X$  (upper) and  $M/X$ -La(lower). The transition metal Co, Sb and the pnictogens P, Sb are designated  $M$  and  $X$ , respectively. In the upper a comparison between  $\text{LaFe}_4\text{P}_{12}$  (solid line),  $\text{CoP}_3$  (dotted line),  $\text{CoSb}_3$  (dashed line) and  $\text{FeP}_3$  (dashed-dotted line) is given, while in the lower a comparison between Fe-La (solid line) and P-La (dotted line) in  $\text{LaFe}_4\text{P}_{12}$ , Co-"La" (short dashed-double-dotted line) and P-"La" (long-dashed-dotted line) in  $\text{CoP}_3$ , Fe-"La" (dashed-dotted line) and P-"La" (dashed) in  $\text{FeP}_3$  is given. "La" represents Wyckoff position a where e.g. La atoms would have been located. The distances are normalized.

$\text{CoP}_3$  followed by  $\text{LaFe}_4\text{P}_{12}$ ,  $\text{FeP}_3$  and  $\text{CoSb}_3$ . The analogues sequence for the  $X$  ions is  $\text{CoSb}_3$ ,  $\text{LaFe}_4\text{P}_{12}$ ,  $\text{CoP}_3$  and  $\text{FeP}_3$ . Similar values of  $\langle \Delta n_d \rangle$  for  $\text{CoP}_3$ ,  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  are observed, while it is less for Co and larger for Sb in  $\text{CoSb}_3$  compared to the other compounds.

The charge depletion around the La ion is largest of all ions, but its mean value is similar to the ones around Co and Fe. The ratio of the depletion number between Co and Fe is almost the same as the relative valence charge difference between the two atoms. It is also observed that the addition of La increases the Fe and P depletion. The depletion cutoff  $r_d$  is comparable for the P ions between  $\text{CoP}_3$ ,  $\text{CoSb}_3$  and  $\text{FeP}_3$ , while it increases slightly for  $\text{LaFe}_4\text{P}_{12}$ . Comparable values are also observed among the Co ions and Fe ions in the respective structures.

**3.4.2. Bader analysis** While the intuitive spherical integration is bound to fail in systems with complicated charge geometries, the Bader analysis is one of the few techniques with a well defined charge partitioning[42]. Despite the clear definition, it



**Figure 8.** (Color online) Inter-ionic extraction of the charge density difference  $\rho_b$  between the short  $X$ - $X$  bonds (lower) and its longer counterpart (upper). The pnictogens P and Sb are designated  $X$ . A comparison between  $\text{LaFe}_4\text{P}_{12}$  (solid line),  $\text{CoP}_3$  (dotted line),  $\text{CoSb}_3$  (dashed line) and  $\text{FeP}_3$  (dashed-dotted line) is given. The distances are normalized.

may be difficult to compare different compounds and their relative charge transfer, due to the variation of the Bader volumes. If the volumes are too different, a comparative charge transfer analysis may be quantitatively unreliable. It is difficult to tell whether the different volumes are due to artifacts of the analysis or different nature of the bonds. Nevertheless, the analysis is well defined and has previously showed to give reliable charges and good comparison conditions even for different volumes. Bader analysis data for  $\text{CoP}_3$ ,  $\text{CoSb}_3$ ,  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  are given in table 3.

For the  $X$  ions four different values are listed corresponding to nonequivalent positions (in the Bader analysis). Even though the differences are small the largest ones are outside estimated error margins. The picture of the charge transfers drawn in the previous sections is confirmed. However, the Bader analysis fails to determine the expected transfer in  $\text{CoSb}_3$ , in contrast to previous work[63]. Also, the variation among the antimonides is not present for  $\text{CoSb}_3$ . This could indicate a failure of the code used in this work or the Bader analysis itself. The volume filling of the Bader analysis is rather good. The errors for the charge filling of the unit cell is below one percent for most compounds if the average  $X$  values are used. However, significant differences are observed confirming the ambiguity of the code used in this work, the core grids or the Bader analysis itself.

**Table 1.** Charge density difference maxima  $\rho_{max}$  and relative distance  $x$  for all significant bond directions for  $\text{CoP}_3$ ,  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$ . In addition the center of Pauling electronegativity  $\chi$  defined in the text is given (electronegativities are listed in table 1).

		$\rho_b^{max}[\text{electrons}/\text{\AA}^3]$	$x$	$\chi^*$
$\text{CoP}_3$	Co-P	0.163	0.6	0.54
	P-P	0.188	0.5	0.5
		0.227	0.5	
$\text{FeP}_3$	Fe-P	0.160	0.6	0.55
	P-P	0.172	0.5	0.5
		0.218	0.5	0.5
$\text{CoSb}_3$	Co-Sb	0.086	0.5	0.52
		0.117	0.87	0.52
	Sb-Sb	0.076	0.5	0.5
		0.083	0.5	0.5
$\text{LaFe}_4\text{P}_{12}$	Fe-P	0.144	0.59	0.55
	P-P	0.142	0.5	0.5
		0.198	0.5	0.5
	Fe-La	0.167	0.09	0.38
		0.029	0.36	0.38
		0.015	0.74	0.38
	P-La	0.016	0.72	0.33

### 3.5. Discussion

We will first discuss the character of different bonds. The center of electronegativity  $\chi^*$  suggests that a charge maximum should occur at 0.54, 0.52 and 0.52 relative distance for the Co-P, Fe-P and Co-Sb bonds if the bonds are purely covalent. From table 1 this is not exactly the case for Co-P and Fe-P bonds, but close enough to conclude that they have a co-ordinated covalent character, in agreement with earlier suggestions[9]. The small deviations are probably attributed to the hybridization set up in the  $MP_6$  octahedra[9]. It is interesting to note that the Co-Sb bond seems to exhibit a stronger covalency than the other  $M-X$  bonds, in agreement with previous work[20] and the electronegativity differences. The P-P bonds are obviously covalent in character illustrated by the charge buildup between the ions. It is more complicated to conclude on a Fe-La bond; however, signs of a covalent character is present in this study. The P-La bond does not seem



**Table 2.** Spherical depletion number  $\Delta n_d$  and their mean value  $\langle \Delta n_d \rangle$ , the cutoff radii  $r_d$  and the Pauling electronegativities[60, 61] $\chi$  are shown. The covalent radii  $r_c$ [62] are also listed for comparison.

		$\Delta n_d$ [electrons]	$\langle \Delta n_d \rangle$ [electrons]	$r_d$ [Å]	$r_c$ [Å]	$\chi$
<hr/>						
CoP <sub>3</sub>	Co	-0.241	-0.099	0.804	1.260	1.88
	P	-0.048	-0.017	0.803	1.070	2.19
FeP <sub>3</sub>	Fe	-0.218	-0.092	0.841	1.320	1.83
	P	-0.047	-0.016	0.803	1.070	2.19
CoSb <sub>3</sub>	Co	-0.161	-0.067	0.803	1.260	1.88
	Sb	-0.110	-0.046	1.150	1.390	2.05
LaFe <sub>4</sub> P <sub>12</sub>	Fe	-0.221	-0.094	0.841	1.320	1.83
	P	-0.056	-0.020	0.838	1.070	2.19
	La	-0.242	-0.108	1.986	2.070	1.10
<hr/>						

to possess a covalent character, in agreement with earlier suggestions[20, 9]. The charge depletion around each ion seems to follow the general trends predicted by simple electronegativity considerations.

The depletion is largest for Co in CoP<sub>3</sub>, the structure with ions exhibiting the largest difference in electronegativities (of the unfilled structures). It could be expected from the electronegativities that Fe is more depleted in FeP<sub>3</sub> than Co in CoP<sub>3</sub>. But since the Fe atom contains one less electron the relative depletion number is smaller.

In CoSb<sub>3</sub>, the difference in electronegativity between  $M$  and  $X$  is smaller than for CoP<sub>3</sub> and FeP<sub>3</sub>. This leads to a decrease of charge depletion around the Co ion and an increase around the Sb ion. From figure 7 an extra charge maximum can be observed close to Sb ion, which is completely lacking in the other compounds. This is probably attributed to the d-electrons in the outer core shell of Sb, which implies a different kind of bond scheme than for P. The peak close to the Sb ion contradicts the previous electronegativity agreements.

The small difference between CoP<sub>3</sub> and FeP<sub>3</sub> confirms that the main differences between the two compounds are found in the vicinity of  $M$ .

Iso-surface studies (not shown) also confirm the suggested sp<sup>3</sup>-like hybridization[9] of P, where the sp<sup>3</sup>-like lobes are facing P and Fe. For the  $X$ - $X$  bonds a greater charge buildup between P than between Sb is observed.

Adding La to FeP<sub>3</sub> increases Fe and P charge depletion, indicating a reorganization of charge to set up of Fe-La and P-La bonds, stabilizing LaFe<sub>4</sub>P<sub>12</sub> compared to FeP<sub>3</sub>.

**Table 3.** Bader analysis of  $\text{CoP}_3$ ,  $\text{FeP}_3$ ,  $\text{CoSb}_3$  and  $\text{LaFe}_4\text{P}_{12}$ . Both the charge and the minimum distance to the Bader surface are given. For the pnictogens all four non-equivalent (in the Bader analysis) values are listed. The charge difference is relative to the formal valence charge of the free atom.

		valence electrons			Min. distance[Å]
		Atom	Crystal	Difference	
CoP <sub>3</sub>					
	Co	9.0	8.89	-0.11	1.02
	P	5.0	5.01	0.01	1.11
			5.04	0.04	1.11
			5.04	0.04	1.13
			5.06	0.06	1.13
FeP <sub>3</sub>					
	Fe	8.0	7.78	-0.22	1.00
	P	5.0	5.02	0.02	1.09
			5.07	0.07	1.09
			5.08	0.08	1.12
			5.13	0.13	1.12
CoSb <sub>3</sub>					
	Co	9.0	9.32	0.32	1.13
	Sb	5.0	4.88	-0.12	1.34
			4.89	-0.11	1.34
			4.90	-0.10	1.34
			4.91	-0.09	1.34
LaFe <sub>4</sub> P <sub>12</sub>					
	Fe	8.0	7.81	-0.19	1.03
	P	5.0	5.17	0.17	1.13
			5.19	0.19	1.13
			5.19	0.10	1.15
			5.22	0.22	1.15
	La	11.0	9.47	-1.53	1.52

This is also reflected in the differences north and south of the P positions in figure 6 and 3 for  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  respectively. A relatively weak binding of La to neighbouring P ions is observed. The maximum charge buildup between La and P is significantly less than what was found in the Fe-P bond (about a tenth). Close to the P ions the setup of a La-P bond and the modification of the P-P bond imply changes in the charge, which is observed. The difference between the  $X_4$  ring ratio of  $\text{CoP}_3$  and  $\text{CoSb}_3$  is attributed to the increased size of the Sb atoms which will have greater influence on the short bonds.

The results from the Bader analysis generally follow simple electronegativity considerations. This also holds true when La is added to the  $\text{FeP}_3$  system. For  $\text{LaFe}_4\text{P}_{12}$  the Bader analysis indicates a rather large increase of charge at the P positions, while the charge difference of Fe is similar between  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$ . This is in agreement with the results from the depletion numbers. The different values for the  $X$  ions are related to the finite grid resolution. The failure to reproduce physical results for  $\text{CoSb}_3$  (the charge transfer behaviour is expected to follow that of  $\text{CoP}_3$ ) is either attributed to the program used in this work, the core grid of Sb or the Bader analysis itself. However, it should be emphasized that within reasonable grid resolutions this behaviour does not change. The core grids and the Bader analysis are not used during the calculation of depletion numbers where such a discrepancy for  $\text{CoSb}_3$  is lacking.

Furthermore, the  $P_4$  ring ratio changes quite drastically from  $\text{CoP}_3$  to  $\text{LaFe}_4\text{P}_{12}$ . In  $\text{CoP}_3$  the shortest length is facing the vacant filler position, while in  $\text{LaFe}_4\text{P}_{12}$  this is opposite. Also, the rectangular  $P_4$  ring in  $\text{CoP}_3$  is transformed into a more quadratic shape in  $\text{LaFe}_4\text{P}_{12}$  in correspondence with what happens when  $\text{CoSb}_3$  is filled with Ce[64]. The La atoms want to bond to the nearby dodecahedra of P ions by redistributing charge from the short P bond to the bond between P and La. This results in a weaker effective P-P bonds close to the La ion, thus increasing the distance between the P ions. As a consequence there is an interchange of the short and long P-P bonds between  $\text{CoP}_3$  and  $\text{LaFe}_4\text{P}_{12}$ .

Adding to the above analysis, differences between  $M$ -P bonds in  $\text{CoP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  are small. There is virtually no difference in the charge buildup except close to the  $M$  ion. Thus, the depletion around the Fe ion does not contribute directly in a modified Fe-P binding when La is added, a further evidence of new Fe-La bonds. Due to the similarities close to the Fe ions between  $\text{FeP}_3$  and  $\text{LaFe}_4\text{P}_{12}$  it is clear that the establishment of P-La bonds would primarily modify the  $P_4$  ring.

From figure 5 elongated charge buildups between the La ion and the long P-P bond is observed. These are aligned along the crystal axes. The bonding between P and La is likely not directional between the ions such that La interacts with the closest P-P bonds by establishing shared states with both P ions. The large depletion cutoff  $r_d$  suggest an extended depletion around La. Yet, the elongated bonds are established in this zone, further strengthening the evidence that the La-P bonds are non-directional and elongated. This is highly relevant to the “rattling” behaviour of the filled skutterudites. Vibrations of La along the crystal axes would imply a response from the P dodecahedra and hence be correlated throughout the structure. On the other hand, vibrations along directions between the elongated bond (e. g. in the north-east direction from La in figure 5) are possibly more uncorrelated and anharmonic. Both pictures are supported in previous studies[10, 11, 13, 12] which apparently are contradictory. But we believe that the combination of both pictures is vital for understanding the reduced thermal conductivity. A possible explanation of the phonon dampening in skutterudites is as follows. Take as an example a phonon wave that involves movement of the  $P_4$  ring parallel to the crystal axes. This movement would be transferred onto the elongated

bond, but as lanthanum moves towards the next elongated bond it is deflected towards to openings due to the edged shape of the elongated bond (e. g. moving in the almost north direction from La in figure 5). As a consequence the phonon wave vector is changed and the phonon wave is damped.

#### 4. Conclusions

In this work we have investigated the charge transfer in the  $\text{CoP}_3$ ,  $\text{CoSb}_3$ ,  $\text{LaFe}_4\text{P}_{12}$  and the hypothetical  $\text{FeP}_3$  skutterudite compounds by using the corresponding procrystals as charge references.

It was demonstrated that the alternative references, like the standard state reference, may lead to inconsistent results.

General agreements with simple electronegativity considerations were shown. The covalent character of the  $M-X$  and  $X-X$  bonds was confirmed, while a slight ionic character was detected for the P-La bond. It was also shown that the addition of La results in a redistribution of charge within the  $\text{P}_4$  rings.

A unique bonding scheme between P and La was proposed. We showed that elongated bonds close to the La ion were established when La was added. These elongated bonds were aligned along the crystal axes facing the  $\text{P}_4$  ring. Vibrations of the La ion along the crystal axes would then be closely connected with the surrounding  $\text{P}_4$  rings. In contrast, vibrations of La along directions between the elongated bonds would be more uncorrelated throughout the structure. The combination yields a quasi-correlated motion of the La ion, which supports recent[12, 13] and previous[10, 11] work. We proposed a possible direct explanation of the phonon dampening in the skutterudites being caused by a change of the phonon wave vector. This change comes as a result of the elongated bonds. Studies are in progress to investigate the spatially resolved movement of La ions to further enlighten this picture.

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